

JC10 Rec'd PCT/PTO 13 JUN 2005

MAIL STOP PCT

ATTORNEY'S DOCKET NUMBER: 26782U

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| U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE | | DATE: 13 June 2005 (13.06.2005) |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPLN. NUMBER: (if known): Not Yet Assigned 10/538933 |
| INTERNATIONAL APPLICATION NUMBER: PCT/EP2003/014554 | INTERNATIONAL FILING DATE: 18 December 2003 (18.12.2003) | PRIORITY DATE CLAIMED: 20 December 2002 (20.12.2002) EP |
| TITLE OF INVENTION: SILYL ETHERS | | |
| APPLICANT(S) FOR DO/EO/US: Jan KOEK; Bernhard KOHL; Jörg SENN-BILFINGER; Ton VRIES | | |

Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
 - ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - ☐ has been communicated by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)):
 - ☐ are attached hereto (required only if not transmitted by the International Bureau).
 - ☐ have been communicated by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - ☒ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825.
- ☐ A second copy of the published international application under 35 USC 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 USC 154(d)(4).
- ☒ Other items or information:
TRANSMITTAL LETTER; APPLICATION DATA SHEET; TRANSMITTAL LETTER TO U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING FILING UNDER 35 U.S.C. 371; INTERNATIONAL PUBLICATION NUMBER: WO 2004/056362 A2, INTERNATIONAL PUBLICATION DATE: 08 JULY 2004 (08.07.2004), WHICH CONSISTS OF 10 PAGES: 1 PAGE FOR THE COVER SHEET, WHICH CONTAINS THE ABSTRACT, 6 PAGES OF TEXTUAL SPECIFICATION WITH EMBEDDED FORMULAS, 3 PAGES OF 8 CLAIMS WITH EMBEDDED FORMULAS, 0 SHEETS OF DRAWINGS; **PRELIMINARY AMENDMENT WITH APPENDIXES A AND B TO BE EXAMINED**; INTERNATIONAL PRELIMINARY EXAMINATION REPORT (PCT/IPEA/409); **PCT REQUEST WITH EXECUTED INVENTOR'S DECLARATION (PCT/RO/101)**; INTERNATIONAL PUBLICATION NUMBER: WO 2004/056362 A3, INTERNATIONAL PUBLICATION DATE: 08 JULY 2004 (08.07.2004), WHICH CONSISTS OF INTERNATIONAL SEARCH REPORT (PCT/ISA/210); NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES (PCT/IB/308); INFORMATION CONCERNING ELECTED OFFICES NOTIFIED OF THEIR ELECTION (PCT/IB/332); NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT (PCT/IB/304); POSTCARD FOR EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST.

JC09 Rec'd PCT/PTO 13 JUN 2005

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|---------------------------------------------------------------------------|---------------------------------------------------------------|------------------------------------|
| U.S. APPLICATION NUMBER: (If known): No. Assigned: 10/538933 | INTERNATIONAL APPLICATION NUMBER: PCT/EP2003/014554 | DATE: 13 June 2005 (13.06.2005) |
|---------------------------------------------------------------------------|---------------------------------------------------------------|------------------------------------|

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| The following fees are submitted: 21. Basic National Fee \$ 300.00 22. Examination Fee If International Preliminary Examination Report prepared by USPTO and all claims satisfy Provisions of PCT Article 33(1)-(4) \$ 100.00 All other situations \$ 200.00 23. Search Fee (37 CFR 1.445(a)(2) has been paid on the International Application to the USPTO as an International Searching Authority ... \$ 100.00 International Search Report prepared and provided to the Office \$ 400.00 All other situations \$ 500.00 ___ Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof. <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> | <u>CALCULATIONS</u> \$ 300.00 \$ 200.00 \$ 400.00 \$ 900.00 | <u>PTO USE ONLY</u> |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|

| | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|--|
| Surcharge of \$130.00 for furnishing the oath or declaration later than _____ 20 _____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | \$ 0.00 | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|--|

| CLAIMS | NUMBER: FILED | NUMBER: EXTRA | RATE | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|------------------|-------------|---------------------------|----|
| TOTAL | 8-20= | 0 | X \$ 50.00 | \$ 0.00 | |
| INDEPENDENT | 3-3= | 0 | X \$ 200.00 | \$ 0.00 | |
| Multiple dependent claims(s) (if applicable) | | | + \$360.00 | \$ 0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 900.00 | |
| Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ 0.00 | |
| SUBTOTAL = | | | | \$ 900.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than _____ 20 _____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + | | | | \$ 0.00 | |
| TOTAL NATIONAL FEE = | | | | \$ 900.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ 0.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 900.00 | |
| | | | | Amount to be: refunded | \$ |
| | | | | charged | \$ |

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| U.S. APPLICATION NUMBER: 10/538933 Not Yet Assigned | INTERNATIONAL APPLICATION NUMBER: PCT/EP2003/014554 | DATE: 13 June 2005 (13.06.2005) |
|------------------------------------------------------------------|---------------------------------------------------------------|------------------------------------|

a. ☒ One check in the amount of \$ 900.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account Number: 14-0112 in the amount of \$ _____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.

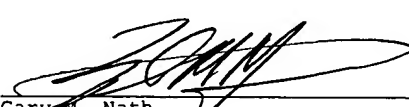
d. ☐ Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form.
☐ Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

Gary M. Nath
NATH & ASSOCIATES PLLC
 1030 15th Street, N.W., Sixth Floor
 Washington, D.C. 20005-1503

(202) 775-8383 (phone)
 (202) 775-8396 (fax)



 Gary M. Nath
 Registration Number 26,965
 Sheldon M. McGee
 Registration Number 50,454
 Customer Number 34375

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

KOEK, et al.

Serial Number: Not Yet Assigned

Filing Date: June 13, 2005

Internatl. Appl. No.: PCT/EP2003/014554

Internatl. Filing Date: 18 December 2003 (18.12.2003)

Title: **SILYL ETHERS**TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Application Data Sheet;
- (2) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371;
- (3) International Publication Number: WO 2004/056362 A2, International Publication Date: 08 July 2004 (08.07.2004), which consists of 10 pages:
 - 1 Page for the Cover Sheet, which contains the Abstract,
 - 6 Pages of Textual Specification with embedded formulas,
 - 3 Pages of 8 Claims with embedded formulas,
 - 0 Sheets of Drawings;
- (4) **Preliminary Amendment with Appendixes A and B to be Examined;**
- (5) International Preliminary Examination Report (PCT/IPEA/409);
- (6) **PCT Request with Executed Inventor's Declaration (PCT/RO/101);**
- (7) International Publication Number: WO 2004/056362 A3, International Publication Date: 08 July 2004 (08.07.2004), which consists of International Search Report (PCT/ISA/210);
- (8) Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (PCT/IB/308);
- (9) Information Concerning Elected Offices Notified of their Election (PCT/IB/332);
- (10) Notification Concerning Submission or Transmittal of Priority Document (PCT/IB/304);
- (11) Check Number: 23156 in the amount of \$ 900.00 for Basic Filing Fee (\$ 300.00), Examination Fee (\$ 200.00), and Search Fee (\$ 400.00) as a Large Entity.
- (12) Postcard for Early Notification of the Serial Number Request.

Respectfully submitted,
NATH & ASSOCIATES PLLC

Date Filed: June 13, 2005

By: 

Gary M. Nath

NATH & ASSOCIATES PLLC
1030th Street, N.W., 6th Floor
Washington, D.C. 20005-1503
(202) 775-8383

Registration Number 26,965
Sheldon M. McGee
Registration Number 50,454
Customer Number 34375